

Fibrations of low genus and surfaces with $q = p_g = 1$

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joint work with F. Catanese

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Outline

- 1 Introduction
 - Situation and motivation
 - The geometrical interpretation
- 2 The structure theorems
 - The relative canonical model
 - $g = 2$
 - $g = 3$
- 3 Minimal surfaces of general type with $p_g = q = 1$
 - Known results
 - The classification of the case $K^2 = 3$

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Fibrations

Definition

In this talk a **genus g fibration** is a surjective morphism $f: S \rightarrow B$ of a smooth compact complex surface onto a smooth curve

- with connected fibres of genus g
- relatively minimal

- $g = 0$: $S = \mathbb{P}(V)$ for V rank 2 vector bundle over B
- $g = 1$: elliptic fibrations, central in classification theory
- $g \geq 2$: the case we are interested in.

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Goal

- Find numerical conditions for the existence of genus g fibrations (cf. Horikawa, Xiao, ...)
 - Develop constructive methods to classify minimal surfaces of general type with low values of the invariants
 - K^2 ,
 - $q = h^1(\mathcal{O}_S)$,
 - $p_g = h^2(\mathcal{O}_S)$,
 - $\chi := \chi(\mathcal{O}_S) = 1 - q + p_g$
- (cf. Persson, ...)

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$g = 2$: classical approach

Every smooth genus 2 curve is hyperelliptic: its canonical map is a double cover of \mathbb{P}^1 branched on 6 points

Glueing these maps one gets a double cover of a ruled surface

More precisely we get a *rational map* of degree 2

$$S \dashrightarrow \mathbb{P}(V_1)$$

where $V_1 = f_*\omega_{S|B}$ with $\omega_{S|B} := \omega_S \otimes f^*\omega_B^{-1}$

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The relative canonical map in genus 2

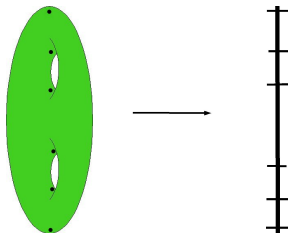


Figure: The canonical map of a genus 2 curve

Classical construction: first construct the ruled surface $\mathbb{P}(V_1)$ (easy) and then a relative sextic with prescribed singularities

The relative canonical map in genus 2

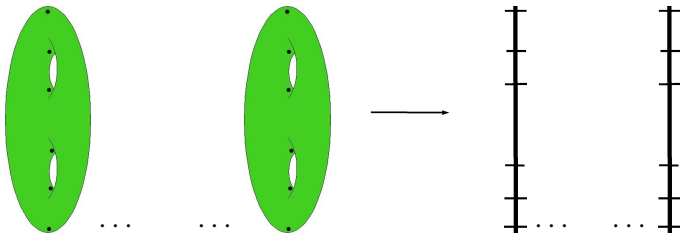


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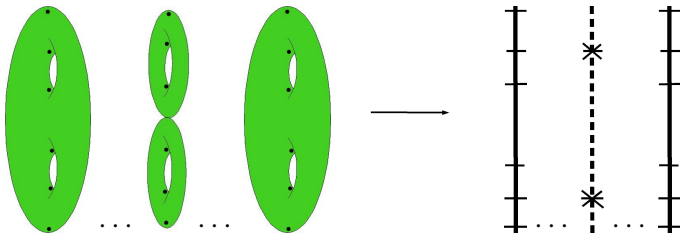


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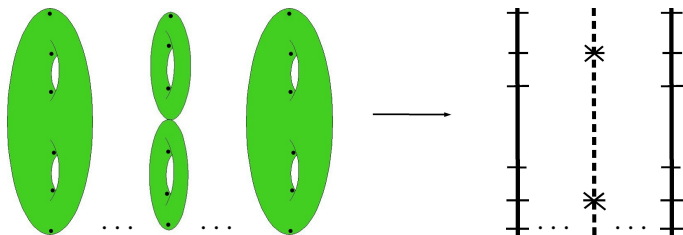


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Classical construction: first construct the ruled surface $\mathbb{P}(V_1)$ (easy) and then a relative sextic **with prescribed singularities**

$g = 2$: alternative approach

Geometric idea: the bicanonical map is nicer

The bicanonical map of a smooth genus 2 curve is a double cover of a conic in \mathbb{P}^2 branched on 6 points: it is simply the canonical map composed with the Segre embedding $\mathbb{P}^1 \hookrightarrow \mathbb{P}^2$

Glueing these maps we get a *morphism* of degree 2

$$S \rightarrow Q \subset \mathbb{P}(V_2)$$

where Q is a conic subbundle of $\mathbb{P}(V_2)$, and $V_2 = f_*\omega_{S|B}^{\otimes 2}$

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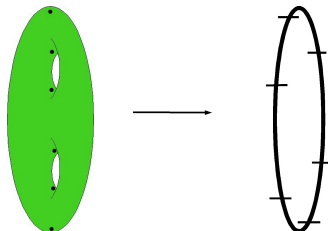


Figure: The bicanonical map of a genus 2 curve

Alternative construction: first construct the (singular) conic bundle and then a relative cubic section **without essential singularities**

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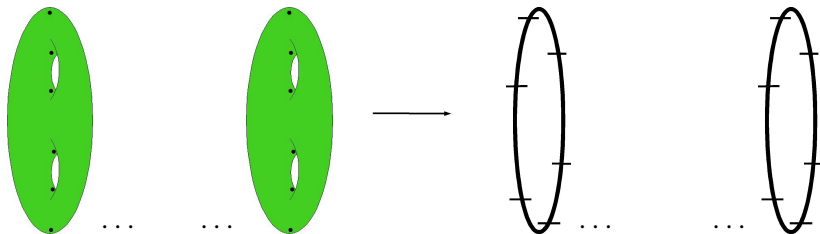


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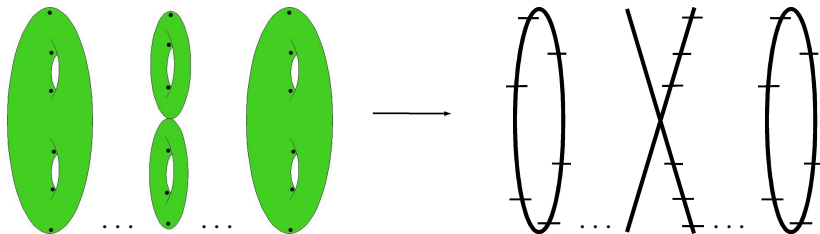


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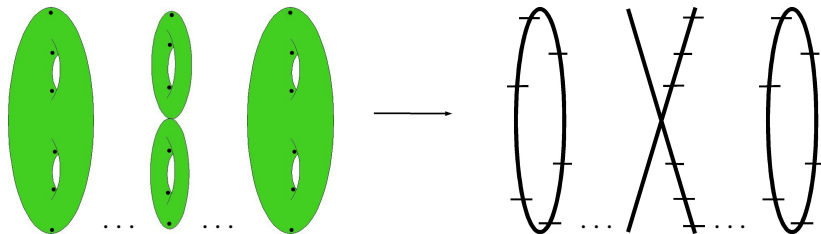


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Alternative construction: first construct the (singular) conic bundle and then a relative cubic section **without essential singularities**

$g = 3$ and nonhyperelliptic general fibre

The canonical map of a smooth genus 3 curve is

- either an embedding as a plane quartic
- or a double cover of a plane conic

The relative canonical map is a birational map

$$S \dashrightarrow \Sigma_1 \subset \mathbb{P}(V_1)$$

where $V_1 = f_*\omega_{S|B}$ and Σ_1 is a relative quartic singular along double conics corresponding to the hyperelliptic fibres

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The bicanonical map of a smooth genus 3 curve is an embedding in \mathbb{P}^5 as a quadric section

- of the Veronese surface in the nonhyperelliptic case
- of the cone over the rational normal quartic in the hyperelliptic case

The relative bicanonical map is a birational map

$$S \dashrightarrow \Sigma_2 \subset W \subset \mathbb{P}(V_2)$$

where

- W is a 3-fold birational to $\mathbb{P}(V_1)$ whose gen. fibre is a Veronese
- Σ_2 is a relative quadric section of W

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The relative canonical algebra

The relative canonical algebra of a fibration f is the sheaf of \mathcal{O}_B -algebras $\mathcal{R}(f) := \bigoplus_{i=0}^{\infty} V_i$, where $V_i = f_* \omega_{S|B}^i$

The fibre of $\mathcal{R}(f)$ over a point p is the canonical ring $R(f^{-1}(p), K_{f^{-1}(p)})$

$\mathcal{R}(f)$ determines f since there is a birational morphism $S \rightarrow \mathbf{Proj}(\mathcal{R})$ commuting with the projections on B

The algebra structure induces a map $\sigma_2 : \text{Sym}^2 V_1 \rightarrow V_2$

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Constructing \mathcal{Q}

Lemma

There is a divisor τ on B such that there is an exact sequence

$$0 \rightarrow \mathrm{Sym}^2(V_1) \xrightarrow{\sigma_2} V_2 \rightarrow \mathcal{O}_\tau \rightarrow 0$$

The above exact sequence induces a

$$\xi \in \mathrm{Ext}_{\mathcal{O}_B}^1(\mathcal{O}_\tau, \mathrm{Sym}^2 V_1) / \mathrm{Aut}_{\mathcal{O}_B}(\mathcal{O}_\tau)$$

B, V_1, τ, ξ determine the conic bundle $\mathcal{Q} \subset \mathbb{P}(V_2)$

In fact, if \mathcal{A} is the sheaf of algebras generated by V_1, V_2 and σ_2 then $\mathcal{Q} \cong \mathbf{Proj}(\mathcal{A})$

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$\mathcal{R}(f) \cong \mathcal{A} \oplus (\mathcal{A}[-3] \otimes V_3^+)$ where $V_3^+ \cong \det V_1 \otimes \mathcal{O}_B(\tau)$

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$$w \in \mathbb{P}(H^0(\tilde{\mathcal{A}}_6))$$

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We say that (B, V_1, τ, ξ, w) is the 5-tuple associated to f

genus 2 5-tuples

Definition

(B, V_1, τ, ξ, w) is an **admissible genus 2 5-tuple** if B is a smooth curve, V_1 is a rank 2 vector bundle on B , $\tau \in \text{Div}_+(B)$, $\xi \in \text{Ext}_{\mathcal{O}_B}^1(\mathcal{O}_\tau, \text{Sym}^2 V_1) / \text{Aut}_{\mathcal{O}_B}(\mathcal{O}_\tau)$ yielding a v.b. (V_2) , $w \in \mathbb{P}(H^0(\tilde{\mathcal{A}}_6))$ and they verify three (easy to check) open conditions

Theorem

Associating to every genus 2 fibration its associated 5-tuple gives a bijection between genus 2 fibrations and admissible genus 2 5-tuples

*Moreover $\chi(\mathcal{O}_S) = \deg V_1 + (g(B) - 1)$,
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(B, V_1, τ, ξ, w) is an **admissible genus 2 5-tuple** if B is a smooth curve, V_1 is a rank 2 vector bundle on B , $\tau \in \text{Div}_+(B)$, $\xi \in \text{Ext}_{\mathcal{O}_B}^1(\mathcal{O}_\tau, \text{Sym}^2 V_1) / \text{Aut}_{\mathcal{O}_B}(\mathcal{O}_\tau)$ yielding a v.b. (V_2) , $w \in \mathbb{P}(H^0(\tilde{\mathcal{A}}_6))$ and they verify three (easy to check) open conditions

Theorem

Associating to every genus 2 fibration its associated 5-tuple gives a bijection between genus 2 fibrations and admissible genus 2 5-tuples

Moreover $\chi(\mathcal{O}_S) = \deg V_1 + (g(B) - 1)$,
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The associated 5–tuple of a genus 3 fibration

In this case we assume that every fibre of $f: S \rightarrow B$ is 2–connected

Lemma

There is a divisor τ on B such that there is an exact sequence

$$0 \rightarrow \text{Sym}^2(V_1) \xrightarrow{\sigma_2} V_2 \rightarrow \mathcal{O}_\tau \rightarrow 0$$

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The relative bicanonical model $\Sigma_2 \subset W$ is a relative quadric induced by a $w \in \mathbb{P}(H^0(\tilde{V}_4))$ for a v.b. \tilde{V}_4 **determined by ξ**

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$$p_g = q = 1$$

Minimal surfaces of general type with $p_g = q = 1$ have $\chi(\mathcal{O}_S) = 1$, the minimal possible value

- By standard inequalities $2 \leq K_S^2 \leq 9$
- The case $K_S^2 = 2$ is classified independently by Horikawa and Bombieri-Catanese
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The following theorem summarizes part of the results of Catanese and Ciliberto on surfaces with $K^2 = 3$, $p_g = q = 1$

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The case $g = 3$ gives an unirational component of dimension 5 of the moduli space of these surfaces

The case $g = 2$ exists

They conjectured that this moduli space has two irreducible components, distinguished by the genus of the Albanese

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B, V_1, τ

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- B is any elliptic curve
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The number of f_i equal to zero is one more than the number of indecomposable factors of V_2 .

We stratify our moduli space as $\mathcal{M}_I \cup \mathcal{M}_{II} \cup \mathcal{M}_{III}$ according to the number of indecomposable factors of V_2 : B, V_1, τ, ξ give respectively 4, 3 and 2 parameters in each case

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We stratify our moduli space as $\mathcal{M}_I \cup \mathcal{M}_{II} \cup \mathcal{M}_{III}$ according to the number of indecomposable factors of V_2 : B, V_1, τ, ξ give respectively 4, 3 and 2 parameters in each case

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$\text{Sym}^2(E_{[0]}(2, 1)) \cong \bigoplus_1^3 L_i([0])$ where L_i are the nontrivial torsion 2 line bundles

$$\text{Ext}_{\mathcal{O}_B}^1(\mathcal{O}_\tau, \text{Sym}^2 V_1) \cong H^0(L_i(\tau)) \cong \mathbb{C}^3$$

and we can write $\xi \in \mathbb{P}^2$ as $\xi = (f_1 : f_2 : f_3)$, with $f_i \in H^0(L_i(\tau))$

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$$W \in \mathbb{P}(H^0(\tilde{\mathcal{A}}_6))$$

By a result of Clemens the expected dimension is 5

- For \mathcal{M}_I we get $H^0(\tilde{\mathcal{A}}_6) = 2$: a family of dimension $4 + 1 = 5$. This family exists and that its general element is a double cover of \mathcal{Q} with irreducible branch divisor
- For \mathcal{M}_{II} we get $H^0(\tilde{\mathcal{A}}_6) = 2$: a family of dimension $3 + 1 = 4$, too small
- For \mathcal{M}_{III} we get $H^0(\tilde{\mathcal{A}}_6) = 4$ unless
 - $\tau = [0]$ and $H^0(\tilde{\mathcal{A}}_6) = 5$
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three families of dimension 5. The first case (τ general) do not fulfil our conditions. The other two cases exist, double covers of \mathcal{Q} with disconnected branch divisor

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For Further Reading I



F. Catanese, R. Pignatelli,

Fibrations of low genus, I

at <http://xxx.lanl.gov> as math.AG/0503294

or

at <http://www.science.unitn.it/pignatel/papers.html>

Thanks for your attention