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Surfaces Invariants

Quasi-étale quotients Definitions Computing invariants

Computer Calculations The Algorithms Classification Results

Open Problems

Computer Aided Algebraic Geometry

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Definition

A surface is a projective compact complex manifold of dimension 2.



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The classical birational invariants are

• the geometric genus

$$p_g(S) = h^{2,0}(S) = h^0(\Omega_S^2) = h^0(\mathcal{O}_S(K_S)) = h^2(\mathcal{O}_S).$$



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- the geometric genus
 - $p_g(S) = h^{2,0}(S) = h^0(\Omega_S^2) = h^0(\mathcal{O}_S(K_S)) = h^2(\mathcal{O}_S).$
- the irregularity $q(S) = h^{1,0}(S) = h^0(\Omega_S^1) = h^1(\mathcal{O}_S)$.



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- the Euler characteristic $\chi = \chi(\mathcal{O}_S) = 1 q + p_g$.



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- the Euler characteristic $\chi = \chi(\mathcal{O}_S) = 1 q + p_g$.
- The plurigenera $P_n(S) = h^0(\mathcal{O}_S(nK_S))$.



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- the irregularity $q(S) = h^{1,0}(S) = h^0(\Omega^1_S) = h^1(\mathcal{O}_S)$.
- the Euler characteristic $\chi = \chi(\mathcal{O}_S) = 1 q + p_g$.
- The plurigenera $P_n(S) = h^0(\mathcal{O}_S(nK_S))$.
- The Kodaira dimension $\kappa(S)$ is the rate of growth of the plurigenera: it is the smallest number κ such that P_n/n^{κ} is bounded from above.



Surfaces of general type

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Open Problems The Enriques-Kodaira classification provides a relatively good understanding of the surfaces of *special* type, which are those with $\kappa(S) < 2$.

Definition

A surface is of general type if $\kappa(S) = 2$ (equiv. $\kappa(S) \ge 2$).



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Definition

A surface is *minimal* if K_S is *nef*, that is if the intersection of K_S with any curve is nonnegative.



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Definition

A surface is *minimal* if K_S is *nef*, that is if the intersection of K_S with any curve is nonnegative.

In every birational class of surfaces of general type there is exactly one *minimal* surface. If *S* is a surface of general type, *S* is obtained by the only minimal surface \overline{S} in its birational class by a sequence of $K_{\overline{S}}^2 - K_{\overline{S}}^2$ blow ups.



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Open Problems At the end of the *XIX*th century M. Noether conjectured that every surface with $p_g = 0$ be birational to \mathbb{P}^2 .

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Surfaces of general type with $p_g = 0$ have been very useful to construct counterxamples in many different fields (Kähler-Einsten metrics, complex structures on real manifolds, Chow groups, Phantoms, ...).



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Surfaces of general type with $p_g = 0$ have been very useful to construct counterxamples in many different fields (Kähler-Einsten metrics, complex structures on real manifolds, Chow groups, Phantoms, ...).

Still, they are very very difficult to construct; ten years ago we had only a couple of dozens of constructions.



Inequalities for surfaces of general type

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Open Problems If *S* is of general type, then the Riemann-Roch formula computes all $P_n(S)$ from χ and $K_{\overline{S}}^2$.

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Inequalities for surfaces of general type

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Open Problems If *S* is of general type, then the Riemann-Roch formula computes all $P_n(S)$ from χ and $K_{\overline{S}}^2$. The possible values of the pair $(\chi, K_{\overline{S}}^2)$ are almost all the integral points of the unbounded green region below.





Beauville construction

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Open Problems Beauville suggestion: take $S = (C_1 \times C_2)/G$ where C_i are Riemann Surfaces of genus $g_i \ge 2$ and *G* is a group of order $(g_1 - 1)(g_2 - 1)$ acting freely; *S* is automatically minimal of general type with $\chi = 1$ and $K^2 = 8$.





Surfaces isogenous to a product

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Open Problems A surface is isogenous to a (higher) product if $S = (C_1 \times C_2)/G$ where C_i are Riemann Surfaces of genus $g_i \ge 2$ and *G* is a group acting freely; *S* is automatically minimal of general type with $K^2 = 8\chi$.





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Open Problems A quasi-étale surface is

 $X = (C_1 \times C_2)/G$ where C_i are Riemann Surfaces of genus

 $g_i \ge 2$ and *G* is a group of automorphisms acting freely out of a finite set of points.



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If $\pi: C_1 \times C_2 \to X$ is the quotient map, we are assuming π quasi-étale (instead of étale).



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• What we lose: *X* is singular, we need to consider a resolution of its singularities *S*.



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Definition

A *quasi-étale surface* is the min. res. *S* of the sings of $X = (C_1 \times C_2)/G$ where C_i are Riemann Surfaces of genus $g_i \ge 2$ and *G* is a group of automorphisms acting freely out of a finite set of points.

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- What we lose: *X* is singular, we need to consider a resolution of its singularities *S*.
- What we gain: It may be $K^2 < 8\chi$. We may in principle fill most of the picture.



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Open Problems It may be $K^2 < 8\chi$. We may in principle fill most of the picture. This gives a powerful tool to answer (positively) existence

conjectures.





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Open Problems We know that

- either $\operatorname{Aut}(C_1 \times C_2) = \operatorname{Aut}(C_1) \times \operatorname{Aut}(C_2)$,
- or $C_1 \cong C_2 \cong C$ and $\operatorname{Aut}(C^2) \cong (\operatorname{Aut}(C))^2 \rtimes \mathbb{Z}_{/2\mathbb{Z}}$.



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$$G^{(0)} = G \cap (\operatorname{Aut}(C_1) \times \operatorname{Aut}(C_2)).$$

There are two possibilities

• either (*unmixed case*) $G = G^{(0)}$;



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- either (*unmixed case*) $G = G^{(0)}$;
- or (mixed case) there is an exact sequence

$$(\#) \quad 1 \to G^{(0)} \to G \to \mathbb{Z}_{/2\mathbb{Z}} \to 1.$$

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$$(\#) \quad 1 \to G^{(0)} \to G \to \mathbb{Z}_{/2\mathbb{Z}} \to 1.$$

Theorem (Frapporti)

 π is not quasi-étale if and only if $G^{(0)} \not\cong G$ and (#) splits.



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Open Problems By Riemann Existence Theorem, to give an action of a group $G^{(0)}$ on a curve *C* is equivalent to give

• the curve $C/G^{(0)}$;



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Open Problems By Riemann Existence Theorem, to give an action of a group $G^{(0)}$ on a curve *C* is equivalent to give

- the curve $C/G^{(0)}$;
- a choice of some points on $C/G^{(0)}$ and a *suitable* choice of loops in the complement of these points.



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- a *suitable* system of generators of $G^{(0)}$ (one for each loop).



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Open Problems By Riemann Existence Theorem, to give an action of a group $G^{(0)}$ on a curve *C* is equivalent to give

- the curve $C/G^{(0)}$;
- a choice of some points on C/G⁽⁰⁾ and a suitable choice of loops in the complement of these points.
- a *suitable* system of generators of $G^{(0)}$ (one for each loop).

For later use: to each *suitable* system of generators we associate its *signature*, which is the unordered list of the orders of some of these generators. By the Hurwitz formula the genus of *C* is a function of |G|, the signature and the genus of $C/G^{(0)}$.



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Open Problems To construct an unmixed surface I need two curves with an action of the same group, so two systems of generators of the same group $G = G^{(0)}$.

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Open Problems To construct an unmixed surface I need two curves with an action of the same group, so two systems of generators of the same group $G = G^{(0)}$.

In the mixed case, we only need one system of generators of $G^{(0)}$, and a degree 2 unsplit extension *G* of $G^{(0)}$.



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In the mixed case, we only need one system of generators of $G^{(0)}$, and a degree 2 unsplit extension G of $G^{(0)}$. From now on, we assume quasi-étaleness, running in parallel both the mixed and the unmixed case.



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In the mixed case, we only need one system of generators of $G^{(0)}$, and a degree 2 unsplit extension G of $G^{(0)}$. From now on, we assume quasi-étaleness, running in parallel both the mixed and the unmixed case.

There is a simple formula for the irregularity:

 $\begin{cases} q(S) = g(C_1/G) + g(C_2/G) & \text{in the unmixed case} \\ q(S) = g(C/G^{(0)}) & \text{in the mixed case} \end{cases}$



 K^2 and χ

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Open Problems We know that

$$K_{S}^{2} = \frac{8(g(C_{1}) - 1)(g(C_{2}) - 1)}{|G|} - \sum_{x \in \text{Sing}X} k_{x}$$
$$\chi = \frac{K_{S}^{2}}{8} + \sum_{x \in \text{Sing}X} b_{x}$$

where k_x and b_x are positive rational numbers depending only on the analytic type of the singularity which we know how to compute.



 K^2 and χ

We know that

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$$\begin{split} K_S^2 &= \frac{8(g(C_1)-1)(g(C_2)-1)}{|G|} - \sum_{x \in \text{Sing}X} k_x \\ \chi &= \frac{K_S^2}{8} + \sum_{x \in \text{Sing}X} b_x \end{split}$$

where k_x and b_x are positive rational numbers depending only on the analytic type of the singularity which we know how to compute.

Then we are able to construct every quasi-étale surface and compute its invariants p_g , q and K_s^2 .



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Open Problems Reversing the above formulas we implemented in Magma an algorithm that computes all quasi-étale surfaces *S* with given p_g , q and K_S^2 .

1 find all (fin. many) possible pairs of genera $(g(C_1/G^{(0)}), g(C_2/G^{(0)}))$ and configurations ("baskets") of singularities with $\sum_x b_x = 8\chi - K_s^2$;



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- **1** find all (fin. many) possible pairs of genera $(g(C_1/G^{(0)}), g(C_2/G^{(0)}))$ and configurations ("baskets") of singularities with $\sum_x b_x = 8\chi K_s^2$;
- for every "basket" and pair of genera, list all (fin. many) "signatures" satisfying certain inequalities we proved;



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- **1** find all (fin. many) possible pairs of genera $(g(C_1/G^{(0)}), g(C_2/G^{(0)}))$ and configurations ("baskets") of singularities with $\sum_x b_x = 8\chi K_s^2$;
- for every "basket" and pair of genera, list all (fin. many) "signatures" satisfying certain inequalities we proved;
- to each (pair of) signature(s), Hurwitz formula predicts |G⁽⁰⁾|: then search all groups of that order for sets of generators with the prescribed signatures;



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- **1** find all (fin. many) possible pairs of genera $(g(C_1/G^{(0)}), g(C_2/G^{(0)}))$ and configurations ("baskets") of singularities with $\sum_x b_x = 8\chi K_s^2$;
- for every "basket" and pair of genera, list all (fin. many) "signatures" satisfying certain inequalities we proved;
- **3** to each (pair of) signature(s), Hurwitz formula predicts $|G^{(0)}|$: then search all groups of that order for sets of generators with the prescribed signatures;
- (d) in the mixed case, consider all the unsplit degree 2 extensions of $G^{(0)}$;



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- **1** find all (fin. many) possible pairs of genera $(g(C_1/G^{(0)}), g(C_2/G^{(0)}))$ and configurations ("baskets") of singularities with $\sum_x b_x = 8\chi K_s^2$;
- for every "basket" and pair of genera, list all (fin. many) "signatures" satisfying certain inequalities we proved;
- to each (pair of) signature(s), Hurwitz formula predicts |G⁽⁰⁾|: then search all groups of that order for sets of generators with the prescribed signatures;
- (d) in the mixed case, consider all the unsplit degree 2 extensions of $G^{(0)}$;
- 6 check the singularities of the surfaces in the output.



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Open Problems We implemented the algorithm in MAGMA in the unmixed case for $p_g = 0$. Recall that if a surface of general type *S* is minimal, then K_S^2 is positive.

Theorem (Bauer, Catanese, Grunewald, -)

Unmixed quasi-étale surfaces of g. t. with $p_g = 0$ form

 exactly 13 irreducible families of surfaces for the case in which G acts freely: they form 13 irreducible connected components of the moduli space;



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2 exactly 72 irreducible families of minimal surfaces;

3 there is exactly one unmixed quasi-étale surface with $p_g = 0$ and $K_s^2 > 0$ which is not minimal.



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A similar classification for $p_g = q \ge 1$ has been obtained by Carnovale, Mistretta, Penegini, Polizzi, Zucconi.



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Open Problems In the mixed case the algorithm is implemented in the case q = 0.

Theorem (Bauer, Catanese, Grunewald, Frapporti)

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3 all mixed quasi-étale surface with $p_g = 0$ and $K_S^2 > 0$ are minimal.



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3 all mixed quasi-étale surface with $p_g = 0$ and $K_S^2 > 0$ are minimal.

Similar results have been obtained by the same authors mentioned before for $p_g = q \ge 1$ only in the étale case.



Algorithmic problems

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Problem

The algorithm is very time and memory consuming. We need some help in computational algebra to get results for different values of the invariants.



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Problem

Extend the programs to the irregular case.



Theoretical problems

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Open Problems Problem

How do we determine the minimal model of S?

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Open Problems

Problem

How do we determine the minimal model of S?

and, related to it

Problem

Can we find all quasi-étale surfaces of general type with $p_g = 0$, or, more generally, with given p_g and q?

We need an explicit bound $K_S^2 \ge k(p_g, q)$. We need for that a better understanding of the rational curves on *X*.



Literature

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